PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT **UNITED STATES HOUSE** Report Status Filer or more from any source in the reporting period? Did any individual or organization make a donation to charity in lieu of paying If yes, complete and attach Schedule I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 **S** /Annual (May 15) House of Representatives Member of the U.S. Barney Frank (Full Name) OF REPRESENTATIVES Amendment District: 4 State: ₹ Yes Termination 8 **S** Officer Or Employee ≤ Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise For use by Members, officers, and employees If yes, complete and attach Schedule VI. Termination Date: Employing Office: (Daytime Telephone) 202-225-5931 Page 1 of 9 AND DELIVERED anyone who files U.S. 85056 65 77 be assessed against A \$200 penalty shall more than 30 days LEGISLATIVE RESOURCE CENTER 2011 MAY 13 PM 3: 50 ĕ ALTER CLEAK 3 Š

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because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics

Yes

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Name Barney Frank Page 2 of 9

	BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
ASS(Identify (a) ea a fair market and (b) any ot generated mo Provide comp symbols.)	Asset and/or income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearmed" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.)	Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an	Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may	Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAS), you may check the "None" column. For all other assets, indicate the category	Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
For all IRAs and self-directed (i.e. exercised, to self asset held in the retirement account of the institution reporting period.	For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.	asset was sold and is included only because it is generated income, the value should be "None."	check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting	of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	
For rental or address.	For rental or other real property held for investment, provide a complete address.		period.	gologia	444-444
For an owner publically tradactivities, and	For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.				
Exclude: You vacation hom	Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting				
	Barney Frank for Congress	\$50,001 - \$100,000	None	NONE	
	Calvert Social INVT FD Equity Portfolio CL A	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	\$2,501 - \$5,000	
	Calvert Social INVT FD Equity Portfolio CL A	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	\$2,501 - \$5,000	
•	Calvert Social INVT FD Equity Portfolio CL A	\$50,001 - \$100,000	DIVIDENDS/CAPI TAL GAINS	\$2,501 - \$5,000	
	Calvert Social INVT FD Equity Portfolio CL A	\$15,001 - \$50,000	DIVIDENDS/CAPI TAL GAINS	\$2,501 - \$5,000	
	Calvert Social INVT FD Equity Portfolio CL A	\$50,001 - \$100,000	DIVIDENDS/CAPI TAL GAINS	\$2,501 - \$5,000	

SCHEDULE III - ASSETS AND "UNEAF	
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SCHEDULE III - ASSETS AND "UNEARNED" INCOME Name	Citibank Deposit Program \$50,001 -	Commonwealth Mass GENL \$50,001 - OBLIG-A B/E DD \$100,000	Commonwealth Mass GENL \$50,001 - OBLIG-A B/E DD \$100,000	Equity Portfolio CL A \$15,001 - \$50,000	Equity Portfolio CL A \$15,001 - \$50,000	Equity Portfolio CL A \$50,001 - \$100,000	Equity Portfolio CL A \$15,001 - \$50,000	Malden Mass RFDG MUN PURP LN L/T Assured GTY 8/E GD OID \$15,001 -	Malden Mass RFDG-MUN PURP LN L/T Assured GTY 8/E GD OID \$15,001 -	Massachusetts H&E FACS \$50,001 - AUTH REV Partners HLTCRE \$100,000 SYS G	Massachusetts H&E FACS \$50,001 - AUTH REV Partners HLTCRE \$100,000 SYS-G	Massachusetts HLTH&EDL \$15,001 - FACS AU REV Dana Farber \$50,000 Cancer INST-K
Barney Frank	INTEREST	INTEREST	INTEREST	DIVIDENDS/CAPI TAL GAINS	CAPITAL GAINS/DIVIDEN DS	DIVIDENDS/CAPI TAL GAINS	DIVIDENDS/CAPI TAL GAINS	INTEREST	INTEREST	INTEREST	INTEREST	INTEREST
	NONE	NONE	NONE	\$2,501 - \$5,000	NONE	NONE	NONE	NONE	NONE	\$2,501 - \$5,000	NONE	NONE
Page 3 of 9												

SCHEDULE III
II - ASSETS AND "UNEARNED" INCO
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SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Barney Frank	ank		Page 4 of 9
Massachusetts HLTH&EDL FACS AU REV Dana Farber Cancer INST-K	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Massachusetts HLTH&EDL FACS AU REV Dana-Farber Cancer Inst-K	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
Massachusetts HLTH&EDL \$ FACS AU REV Dana-Farber \$ Cancer INST-K	\$15,001 - \$50,000	INTEREST	NONE	
Massachusetts School BLDG AU Dedicated Sales Tax-A- AMBAC	\$50,001 - \$100,000	INTEREST	\$201 - \$1,000	
Massachusetts School BLDG AU Dedicated Sales Tax-A- AMBAC	\$50,001 - \$100,000	INTEREST	NONE	
Massachusetts ST CONS LN- SER C	\$50,001 - \$100,000	INTEREST	\$5,001 - \$15,000	
Massachusetts ST CONS LN- SER C	\$50,001 - \$100,000	INTEREST	NONE	
Massachusetts ST G/O REF \$ SER C FSA \$	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
Massachusetts ST G/O REF \$ SER C FSA \$	\$50,001 - \$100,000	INTEREST	NONE	
Massachusetts ST G/O REF \$ SER C MBTA	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
Massachusetts ST G/O REF \$ SER C MBTA	\$50,001 - \$100,000	INTEREST	NONE	
Massachusetts ST HSG FIN AGY HSG REV Rental-MTG-B- AMT-AMBAC	\$1,001 - \$15,000	INTEREST	NONE	

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SCHED	SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Barney Frank	rank		Page 5 of 9
	Massachusetts ST HSG FIN AGY HSG REV Rental-MTG-B-	\$1,001 - \$15,000	INTEREST	NONE	
	Massachusetts ST HSG FIN AGY RFDG HSG-SER A	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	Massachusetts ST HSG FIN AGY RFDG HSG-SER A	\$15,001 - \$50,000	INTEREST	NONE	
	Massachusetts ST SPL OBLIG Dedicated Tax REV SER A FGIC	\$15,001 - \$50,000	CAPITAL GAINS	NONE	
	achusetts ST SPL OBLIG ated Tax REV SER A	\$15,001 - \$50,000	CAPITAL GAINS	NONE	
	FGIC				

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Obligation Refunding Bonds	The Commonwealth of Massachusetts General	NUVEEN Massachusetts Dividend Advantage Municipal Fund	NUVEEN Massachusetts Dividend Advantage Municipal Fund	Massachusetts WTR RES AU GENL REV SER A Book/Entry MBIA	Massachusetts WTR RES AU GENL REV SER A Book/Entry MBIA	Massachusetts State CONS Loan SER A Book/Entry	Massachusetts State CONS Loan SER A Book Entry	FGIC
	\$15,001 - \$50,000	\$1,001 - \$15,000	\$1,001 - \$15,000	\$15,001 - \$50,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$50,001 - \$100,000	
•	INTEREST	DIVIDENDS	DIVIDENDS	INTEREST	INTEREST	INTEREST	INTEREST	
	NONE	\$1 - \$200	\$1 - \$200	NONE	\$1,001 - \$2,500	NONE	\$5,001 - \$15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME	Name Barney Frank	ank		Page 6 of 9
The Commonwealth of Massachusetts General Obligation Refunding Bonds	\$15,001 - \$50,000	INTEREST	NONE	
University of Mass BLDG AU FACL REV Senior SER A GTD MBTA \$15	\$15,001 - \$50,000	INTEREST	NONE	
University of Mass BLDG AU FACL REV Senior SER A GTD MBTA \$15	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	

SCHEDULE IV - TRANSACTIONS

Name Barney Frank

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

	SP, DC, JT
Calvert Social INVT FD Equity Portfolio CL A	Asset
Р	Type of Transaction
Yes	Capital Gain in Excess of \$200?
5-28-10	Date
\$15,001 - \$50,000	Amount of Transaction

SCHEDULE VI - GIFTS

Name Barney Frank

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Report the source, a brief description, and the value of all gifts totaling more than \$335 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Rep. Chellie Pingree (ME-01) and her fiance Mr. Donald Sussman	First Class Round Trip Travel by Private Aircraft - Washington, DC to United States Virgin Islands	\$2,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Barney Frank Page 9 of 9

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the spouse or dependent child that is totally independent of his or her relationship to you. the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the

Source	Date(s)	Point of Departure DestinationPoint of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Prood? Member Included? (Y/N) (Y/N)	Days not at sponsor's expense
NBC Universal	August 26- 31	August 26- Boston-Los Angeles-Phoenix- 31 Boston	Υ	Z	Υ	2 Days